

# SPARK INSTITUTIONAL EQUITIES PRIVATE LIMITED

## INVESTOR COMPLAINT DATA

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**Spark Institutional Equities Pvt. Ltd**

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CIN: U65999TN2022PTC149473

**Investor Complaints Data – Stock Broker  
Data for the month ending January 2026**

S.No	Received from	Carried forward from previous month	Received during the month	Resolved during the month	Total Pending for less than 3 months	Complaints Pending for more than 3 months	Average Resolution time^ (In days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Trend of monthly disposal of complaints**

SN	Month	Carried forward From previous month	Received during the month	Resolved During the month	Pending at the end of the month
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
8	November 2025	0	0	0	0
9	December 2025	0	0	0	0
10	January 2026	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
8	2024-25	0	4 <sup>^^</sup>	4 <sup>^^</sup>	0
	<b>Grand Total</b>	<b>0</b>	<b>4<sup>^^</sup></b>	<b>4<sup>^^</sup></b>	<b>0</b>

**^^: The general public (not our clients) had filed these complaints. Impersonation is the core issue of these complaints. We have closed these complaints on the SCORE portal and have taken further actions, including filing police complaint/s and publishing a notice on our website and LinkedIn page.**

**Investor Complaints Data – Research Analyst**

**Data for the month ending January 2026**

Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	<b>Grand Total</b>	0	0	0	0	0	0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of the month **
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
8	November 2025	0	0	0	0
9	December 2025	0	0	0	0
10	January 2026	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

S N	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
4	2021-22	0	0	0	0
5	2022-23	0	0	0	0
6	2023-24	0	0	0	0
7	2024-25	0	0	0	0
	<b>Grand Total</b>	0	0	0	0