

Step-by-step procedures for opening an account

The process for opening an account at Aventus Wealth Management Private Limited (“AWMPL”, “Company”) is as mentioned below:

- Physical
- Digital/Phygital

The steps to get your account set up are as follows:

Physical:

Step 1:

- a. AWMPL's relationship managers (RM) will approach you to open a trading account with Company.
- b. Once you confirm your interest, AWMPL will provide you with an account opening form via email or physical hardcopy.

Step 2:

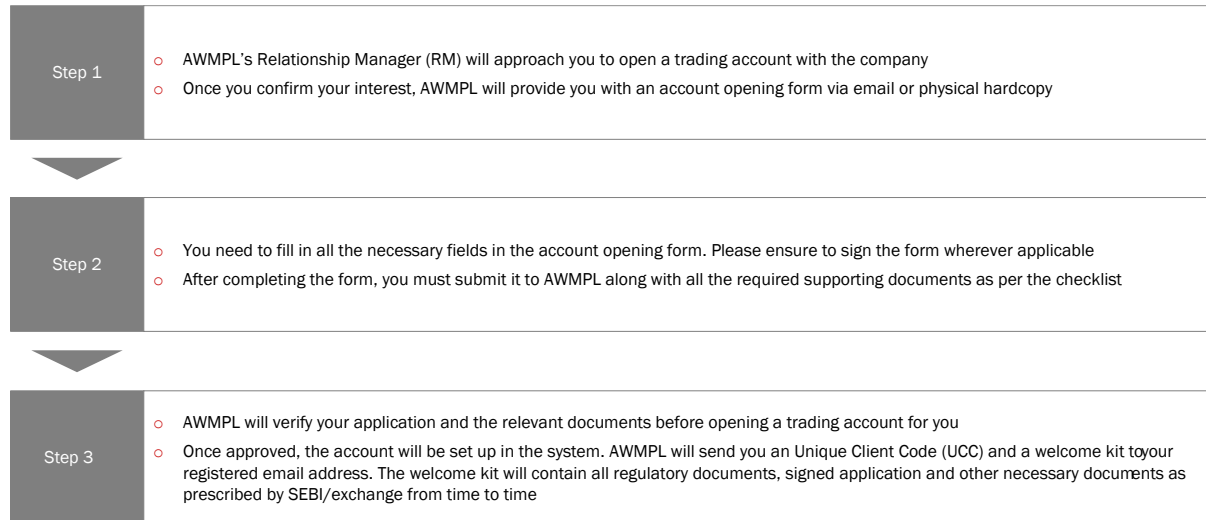
- a. You need to fill in all the necessary fields in account opening form. Please ensure to sign the form wherever applicable.
- b. After completing the form, you must submit it to AWMPL along with all the required supporting documents as per the checklist.

Step 3:

- a. AWMPL will verify your application and the relevant documents before opening a trading account for you.
- b. Once approved, Account will be set up in system. AWMPL will send you an Unique client code (UCC) and a welcome kit to your registered email address. The welcome kit will contain all regulatory documents, signed application, and other necessary documents as prescribed by SEBI/exchange from time to time.

Please note that this process is conducted offline, and AWMPL will guide you through each step to ensure a smooth account opening experience.

Process Flow Chart



Digital/Phygital

Step 1:

- AWMPL's relationship managers (RM) will approach you to open a trading account with Company.
- Once you confirm your interest, you are required to give consent on email to download KYC details/documents from KRA.
- AWMPL will initiate the journey with PAN and Date of Birth of the client.

Step 2:

- AWMPL to fetch details from KRA. System will do PAN verification, AWMPL to capture additional data as per the supporting documents.
- AWMPL to generate account opening form and send link to client.

Step 3:

- Client is required to do filled form checking, VIPV, Mobile and Email ID verification.
 - Post checking of filled form by client, client is required to E-sign form and send back to AWMPL.
- or
- AWMPL/Client to take print of account opening form along with supporting documents and affix a wet signature/photograph (in case of individual client) and send back to AWMPL.

Step 4:

- a. Post receipt of signed form, AWMPL will verify your application and the relevant documents before opening a trading account for you.
- b. Once approved, Account will be set up in system. AWMPL will send you an Unique client code (UCC) and a welcome kit to your registered email address. The welcome kit will contain all regulatory documents, signed application, and other necessary documents as prescribed by SEBI/exchange from time to time.

Process Flow Chart

